

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
11 October 2001 (11.10.2001)

PCT

(10) International Publication Number
WO 01/76180 A2

(51) International Patent Classification⁷: **H04L 29/00**

(21) International Application Number: PCT/US01/10143

(22) International Filing Date: 29 March 2001 (29.03.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 09/539,499 30 March 2000 (30.03.2000) US

(71) Applicant: **QUALCOMM INCORPORATED [US/US]**; 5775 Morehouse Drive, San Diego, CA 92121-1714 (US).

(72) Inventors: **ABROL, Nischal**; 7260 Calle Cristobal #41, San Diego, CA 92126 (US). **GILKEY, Harold**; Apartment 1432, 3717 Nobel Drive, San Diego, CA 92122 (US).

(74) Agents: **WADSWORTH, Philip, R. et al.**; Qualcomm Incorporated, 5775 Morehouse Drive, San Diego, CA 92121-1714 (US).

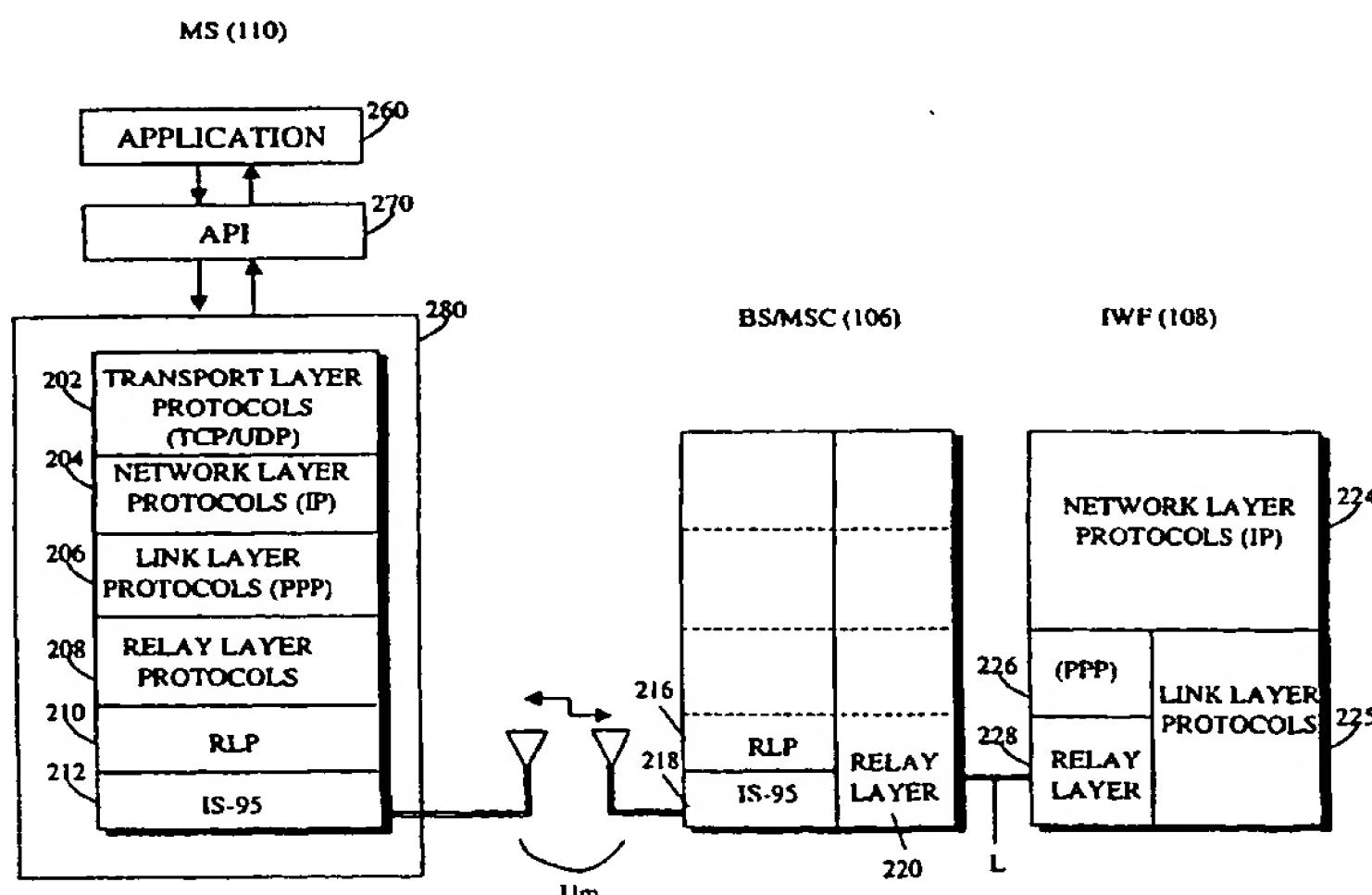
(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:
— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND APPARATUS FOR A MOBILE STATION APPLICATION TO RECEIVE AND TRANSMIT RAW PACKETIZED DATA



(57) **Abstract:** The present invention discloses a method and apparatus for a mobile station application to receive and transmit raw packetized data in a wireless communication system. The present invention includes a mobile station application that creates at least one socket. At least one of mobile station protocol layers of a communication protocol stack receives encapsulated raw packetized data from a communication network. The raw packetized data lacks destination port information. At least one of the mobile station protocol layers transmits unencapsulated raw packetized data to the created sockets. In turn, the created sockets transmit the raw packetized data to the mobile station application. In another implementation, the created sockets transmit raw packetized data of the mobile station application to at least one of the mobile station protocol layers. In turn, at least one of the mobile station protocol layers transmits encapsulated raw packetized data to the communication network.

WO 01/76180 A2

METHOD AND APPARATUS FOR A MOBILE STATION APPLICATION TO RECEIVE AND TRANSMIT RAW PACKETIZED DATA

5

BACKGROUND

1. Field of the Invention

This invention generally relates to the field of wireless communications. More particularly, the present invention relates to a novel method and apparatus for a mobile station application to receive and transmit raw packetized data in a wireless communication system.

2. Description of Related Art

A. Wireless Communications

Recent innovations in wireless communication and computer-related technologies, as well as the unprecedented growth of Internet subscribers, have paved the way for mobile computing. In fact, the popularity of mobile computing has placed greater demands on the current Internet infrastructure to provide mobile users with more support. The life blood of this infrastructure is the packet-oriented Internet Protocol (IP) which provides various services, including the addressing and routing of packets (datagrams) between local and wide area networks (LANs and WANs). IP protocol is defined in Request For Comment 791 (RFC 791) entitled, "INTERNET PROTOCOL DARPA INTERNET PROGRAM PROTOCOL SPECIFICATION," dated September 1981.

The IP protocol is a network layer protocol that encapsulates data into IP packets for transmission. Addressing and routing information is affixed to the header of the packet. IP headers, for example, contain 32-bit addresses that identify the sending and receiving hosts. These addresses are used by intermediate routers to select a path through the network for the packet towards its ultimate destination at the intended address. Thus, the IP protocol allows packets originating at any Internet node in the world to be routed to any other Internet node in the world. On the other hand, a transport layer, which comprises either a Transmission Control Protocol (TCP) or a User Datagram Protocol (UDP), is used to address to particular applications.

The current trend is for mobile users to use mobile computers, such as laptop or palmtop computers, in conjunction with wireless communication devices, such as cellular or portable phones, to access the Internet. That is, just as users conventionally employ "wired" communication devices to connect 5 their computers to land-based networks, mobile users will use wireless communication devices, commonly referred to as "mobile stations" (MSs), to connect their mobile terminals to such networks. As used herein, the mobile station or MS will refer to any subscriber station in the public wireless radio network.

10 FIG. 1 (Prior Art) illustrates a high-level block diagram of a wireless data communication system in which the MS 110 communicates with an Interworking Function (IWF) 108 via a Base Station/Mobile Switching Center (BS/MSC) 106. The IWF 108 serves as the access point to the Internet. IWF 108 is coupled to, and often co-located with, BS/MSC 106, which may be a 15 conventional wireless base station as is known in the art. Another standard protocol that addresses the wireless data communication system is the 3rd Generation Partnership Project 2 ("3GPP2") entitled "WIRELESS IP NETWORK STANDARD," published in December 1999. The 3G Wireless IP Network Standard, for example, includes a Packet Data Serving Node ("PDSN"), which 20 functions like the IWF 108.

There are various protocols that address the data communications between the MS 110 and the IWF 108. For example, Telecommunications Industry Association (TIA)/Electronics Industries Association (EIA) Interim Standard IS-95, entitled "MOBILE STATION-BASE STATION 25 COMPATIBILITY STANDARD FOR DUAL-MODE WIDEBAND SPREAD SPECTRUM CELLULAR SYSTEM," published in July 1993, generally provides a standard for wideband spread spectrum wireless communication systems. Moreover, standard TIA/EIA IS-707.5, entitled "DATA SERVICE OPTIONS FOR WIDEBAND SPREAD SPECTRUM SYSTEMS: PACKET DATA 30 SERVICES," published in February 1998, defines requirements for support of packet data transmission capability on TIA/EIA IS-95 systems and specifies packet data bearer services that may be used for communication between the MS 110 and the IWF 108 via the BS/MSC 106. Also, the TIA/EIA IS-707-A.5 standard, entitled "DATA SERVICE OPTIONS FOR SPREAD SPECTRUM

SYSTEMS: PACKET DATA SERVICES," and the TIA/EIA IS-707-A.9 standard, entitled "DATA SERVICE OPTIONS FOR SPREAD SPECTRUM SYSTEMS: HIGH-SPEED PACKET DATA SERVICES," both published in March 1999, also define requirements for packet data transmission support on TIA/EIA IS-95 systems. In addition, another standard protocol that addresses communications between the MS 110 and the IWF 108 is the TIA/EIA IS-2000, entitled "INTRODUCTION TO CDMA 2000 STANDARDS FOR SPREAD SPECTRUM SYSTEMS," published in July 1999.

IS-707.5 introduces communication protocol option models between the MS 110 and the BS/MSC 106 (the Um interface), and between the BS/MSC 106 and the IWF 108 (the L interface). For instance, a Relay Model represents the situation where a Point to Point Protocol (PPP) link exists on the Um interface between the MS 110 and the IWF 108. The PPP protocol is described in detail in Request for Comments 1661 (RFC 1661), entitled "THE POINT-TO-POINT PROTOCOL (PPP)."

FIG. 2 (Prior Art) is a diagram of the protocol stacks in each entity of the IS-707.5 Relay Model. At the far left of the figure is a communication protocol stack, shown in conventional vertical format, showing the protocol layers running on the MS 110. The MS 110 protocol stack is illustrated as being logically connected to the BS/MSC 106 protocol stack over the Um interface. The BS/MSC 106 protocol stack is, in turn, illustrated as being logically connected to the IWF 108 protocol stack over the L interface.

The operation depicted in Fig. 2 is as follows: an upper layer protocol 200 entity, such as an application program running on the MS 110, has a need to send data over the Internet. A representative application may be a web browser program (e.g., Netscape NavigatorTM, Microsoft Internet ExplorerTM). The web browser requests a Universal Resource Locator (URL), such as HYPERLINK "<http://www.Qualcomm.com/>". A Domain Name System (DNS) protocol, also in the upper layer protocol 200, translates the textual host name www.Qualcomm.com to a 32-bit numeric IP address by the use of a domain name resolution, which translates names to addresses in the Internet. The Hypertext Transfer Protocol (HTTP), which is also an upper layer protocol 200, constructs a GET message for the requested URL, and specifies that TCP will be used to send the message and for HTTP operations. The transport layer

202 uses port 80, which is known in the art, as the destination port to route the HTTP operations to the application.

The TCP protocol, which is a transport layer protocol 202, opens a connection to the IP address specified by DNS and transmits the application-level HTTP GET message. The TCP protocol specifies that the IP protocol will be used for message transport. The IP protocol, which is a network layer protocol 204, transmits the TCP packets to the IP address specified. The PPP, which is a link layer protocol 206, encodes the IP packets and transmits them to the relay layer protocol 208. An example of the relay layer protocol 208 may be the illustrated TIA/EIA-232F standard, which is defined in "INTERFACE BETWEEN DATA TERMINAL EQUIPMENT AND DATA CIRCUIT-TERMINATING EQUIPMENT EMPLOYING SERIAL BINARY DATA INTERCHANGE," published in October 1997. It is to be understood that other standards or protocols known to artisans of ordinary skill in the art may be used to define the transmission across the layers. For example, other applicable standards may include the "UNIVERSAL SERIAL BUS (USB) SPECIFICATION, Revision 1.1," published in September 1998, and the "BLUETOOTH SPECIFICATION VERSION 1.0A CORE," published in July 1999. Last, the relay layer protocol 208 passes the PPP packets to a Radio Link Protocol (RLP) 210 and then to the IS-95 protocol 212 for transmission to the BS/MSC 106 over the Um interface. The RLP protocol 210 is defined in the IS-707.2 standard, entitled "DATA SERVICE OPTIONS FOR WIDEBAND SPREAD SPECTRUM SYSTEMS: RADIO LINK PROTOCOL," published in February 1998, and the IS-95 protocol is defined in the IS-95 standard identified above.

A complementary relay layer protocol 220 on the BS/MSC 106 receives the PPP packets over the Um interface through a IS-95 layer 218 and then a RLP layer 216. The relay layer protocol 220 passes them over the L interface to a relay layer protocol 228 on the IWF 108. A PPP protocol link layer 226 on the IWF 108 receives the PPP packets from the relay layer protocol 228, and terminates the PPP connection between the MS 110 and the IWF 108. The packets are passed from the PPP layer 226 to a IP layer 224 on the IWF 108 for examination of the IP packet header for final routing, which in this scenario is www.Qualcomm.com.

Assuming that the ultimate destination of the IP packets generated by the MS 110 is not the IWF 108, the packets are forwarded through the network layer protocols 224, and link layer protocols 225 to the next router (not shown) on the Internet. In this manner, IP packets from the MS 110 are communicated through the BS/MSC 106, and the IWF 108 towards their ultimate intended destination in the Internet in accordance with the IS-707.5 standard relay model.

Before the MS 110 packets reach their destination, the data link connection must be established first. As specified in RFC 1661, this requires each end of the point-to-point link (i.e., the PPP protocols 206 and 226) to first send PPP Link Control Protocol (LCP) packets in order to establish, configure and test the data link connection. After the link has been established by the LCP, the PPP protocol 206 may then send Network Control Protocol (NCP) packets to configure the network layer protocols 204 and 224. The NCP for IP in PPP links is the IP Control Protocol (IPCP). IPCP is described in detail in Request for Comment 1332 (RFC 1332), entitled "THE PPP INTERNET PROTOCOL CONTROL PROTOCOL (IPCP)," published in May 1992. Before IPCP negotiation, however, an authentication phase may be needed. After each of the network layer protocols has been configured, packets from each network layer protocol can be sent over the link between them.

B. Application Program Interface

Most, if not all, of the processes supporting the communication protocol stack on the MS 110 are executed by application programs. Generally, conventional data networks employ application program interfaces (APIs) to enable application programs running on one computer to communicate with application programs running on another computer. The APIs utilize "sockets," which shelter the invoking applications from differences in the protocols of the underlying network. To achieve inter-networked communications, APIs comprise functions, which allow the applications, for example, to open a socket, transmit data to the network, receive data from the network, and close the socket. Common network programming interfaces include Berkeley Systems Development (BSD) sockets interface, which operates under a Unix™ operating system, and Windows™ Sockets Interface (WinSock™), which operates under a

Windows™ operating system.

Because neither BSD sockets nor WinSock™ supports the communication protocol stack on the wireless MS 110 (see FIG. 2), a novel API supporting such a stack is needed. In particular, what is needed is a novel method and 5 apparatus for a mobile station application to receive and transmit raw packetized data in a wireless communication system.

SUMMARY OF THE INVENTION

The present invention addresses the need identified above by providing 10 a method and apparatus for a mobile station application to receive and transmit raw packetized data in a wireless communication system. In one implementation, a mobile station application creates at least one socket. At least one of mobile station protocol layers receives encapsulated raw packetized data, which lacks destination port information, from a communication network. At 15 least one of the mobile station protocol layers transmits unencapsulated raw packetized data to the at least one socket. In turn, the at least one socket transmits the raw packetized data to the mobile station application. In another implementation, the at least one socket transmits raw packetized data of the mobile station application to at least one of the mobile station protocol layers. In 20 turn, at least one of the mobile station protocol layers transmits encapsulated raw packetized data to the communication network.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 (Prior Art) is a high level block diagram of a wireless 25 communication system in which a mobile station connects to the Internet.

FIG. 2 (Prior Art) schematically describes the protocol stacks in each entity of the TIA/EIA IS-707.5 Relay Model.

FIG. 3 schematically depicts features of an embodiment of the present invention.

30 FIGS. 4 and 5 are flow charts for detecting a specified event.

FIG. 6 is a block diagram depicting an asynchronous connection.

FIG. 7 is a block diagram depicting an asynchronous socket input.

FIGS. 8-10 are state diagrams of embodiments of the present invention.

DETAILED DESCRIPTION

The embodiments of the present invention may be realized in a variety of implementations, including software, firmware, and/or hardware. Hence, the operation and behavior of the present invention will be described without specific reference to the software code or hardware components, it being understood that a person of ordinary skill in the art would be able to design software and/or hardware to implement the present invention, which enables a mobile station application to receive and transmit raw packetized data, based on the description herein.

FIG. 3 depicts an application 260, a communication protocol stack 280, and an API 270 within a MS 110. Application 260 and communication protocol stack 280 (i.e., protocol layers 202, 204, 206, 208, 210, 212) communicate through function calls, which are provided by API 270. In other words, API 270 allows application 260 and communication protocol stack 280 to run on different processors and operating systems without compromising functionality. One skilled in the art would appreciate that various names for the invoked functions are possible without departing from the scope of the present invention.

It should be noted that communication protocol stack 280 contains a plurality of send queues and receive queues, which store data. Output functions read data from a memory of application 260 to store the data into one of the send queues of communication protocol stack 280. Input functions read data from one of the receive queues of communication protocol stack 280 to store the data into the memory of application 260.

To illustrate operation, the MS 110 receives IP packets. The communication protocol stack 280 of the MS 110 unencapsulates the IP packets, and passes them to the transport layer 202 (see FIG. 3). A field in the IP packet header indicates the transport, which may be either TCP or UDP. Based on the destination port number specified in the transport layer header, the data is routed to the appropriate receive queue of communication protocol stack 280, which corresponds to a particular socket. The data may then be transmitted to application 260.

In certain situations, it may be desirable to operate with packets that bypass various layers of the protocol stack 280 to reduce latency effects. Such packets include raw packetized data, such as raw IP packets, which lack

destination information (i.e., destination port number). As such, the destination application may not be determined from the raw IP packets. In such situations, communication protocol stack 280 may transmit the received raw IP packets to all sockets registered to support the IP protocol, for example. This allows the 5 payload data to be transmitted to the destination application. An Internet Control Messaging Protocol (ICMP) parsing engine, which responds to IP packets, may also receive the raw packetized data. The well-known ICMP parsing engine is defined in RFC 792, entitled "INTERNET CONTROL MESSAGE PROTOCOL." It should be apparent from this description that 10 communication protocol stack 280, for example, processes the received packets before it passes them up the stack to application 260, which reduces the amount of unencapsulation to be done by application 260.

Conversely, application 260 may transmit raw packetized data over the Um interface by using the sockets, which facilitates communications between 15 communication protocol stack 280 and application 260. Further, application 260 may transmit raw packetized data over the Um interface. In turn, communication protocol stack 280 encapsulates the packetized or raw packetized data, for example, into IP packets and transmits them over the Um interface. In this example, communication protocol stack 280 provides a IP 20 header and a checksum in order to generate the IP packets. For ICMP, on the other hand, a specified protocol type may be copied into the IP header.

As stated above, application 260 may create a socket that allows data communications between at least one of the protocol layers 202, 204, 206, 208, 210, 212 and application 260 to reduce the latency inherent in the use of 25 communication protocol stack 280. That is, application 260 may create a socket that bypasses the transport layer 202, the network layer 204, and the link layer 206, and thus allows application 260 to transmit payload data to, or receive payload data from, the RLP layer 210. Also, application 260 may create a socket that allows application 260 to transmit payload data to, or receive payload data 30 from, the IS-95 layer 212.

In one embodiment, application 260 calls a function `open_netlib()` to open communication protocol stack 280 and to assign an application identification. The application identification allows multiple applications to communicate with communication protocol stack 280 (i.e., multi-tasking). As

part of the call to function `open_netlib()`, for example, application 260 specifies a pointer to a network callback function and to a socket callback function. The network callback function is invoked to inform application 260 whenever network subsystem specified events, such as read from, write to, and close the traffic channel (i.e., Um) and/or a link-layer (i.e., PPP 206), have occurred (or have been enabled). The socket callback function is invoked to inform application 260 whenever socket specified events, such as read from, write to and close the transport layer (i.e., TCP), have occurred (or have been enabled). It should be apparent to one skilled in the art that a communication network comprises at least one of the traffic channel, the link-layer, and the transport layer.

Once communication protocol stack 280 has been opened, a function `pppopen()` is called to initiate a network subsystem connection, which includes the traffic channel and the link-layer. This is an application-wide call, which is not dependent on an individual socket. It, however, requires the application identification. Upon the establishment or failure of the network subsystem connection, the network callback function is invoked to provide a specified event notification. The network subsystem fails, for example, if the traffic channel is not established. Further, the network subsystem characteristics may be set with a call to function `net_ioctl()`. This call, for example, may specify the data rate of the sockets.

Once the network subsystem connection is established, a socket (or sockets) can be created and initialized through a call to function `socket()`. Before the socket functions can be used, however, the call to function `socket()` may return a socket descriptor. Then, application 260 may call a function `async_select()` to register specified events to receive asynchronous notification. This registration may be implemented by application 260, as part of the function call, to specify the socket descriptor and a bit mask (i.e., multiple events OR'ed together) of the specified events requiring notification. If a specified event occurs (i.e., it is enabled), and it is detected by communication protocol stack 280 or API 270, for example, the socket callback function is invoked to provide asynchronous notification. The callback function may notify application 260 of the specified event by the use of a signal, a message, including a message over remote procedure call (RPC), or a hardware or software interrupt.

Once application 260 is notified of the specified event, then it may call function `getnextevent()` to determine the specified events to service. This function returns a mask of the specified events that occurred for the specified socket descriptor. Also, it clears the bits in the mask of the specified events that 5 occurred. Thus, application 260 may no longer receive notification of the disabled specified events. Application 260 must re-register (i.e., re-enable) these specified events through a subsequent call to function `async_select()`.

In addition, application 260 may change the specified events registered for by clearing corresponding bits in the bit mask of specified events. If the bit is 10 already cleared in the bit mask, then the request is simply ignored. In short, event notification may be disabled on a per-event basis, for example, through a call to function `async_deselect()`.

FIGS. 4 and 5 are flow charts for detecting the specified events. As shown in FIG. 4, for example, communication protocol stack 280 waits for application 15 260, in block 400, to register a specified event. After the specified event is registered, communication protocol stack 280, in block 402, polls a memory. In block 404, the specified event may be detected based on the polled information of block 402. In block 406, the write event is detected, for example, when the memory of the communication protocol stack 280 (i.e., the send queue) is 20 available to accept a sufficient amount of data. The data may be transmitted from application 260. If the polled information of block 404 is not satisfactory (i.e., the specified event has not occurred), then communication protocol stack 280 continues to poll the memory, as in block 402.

In FIG. 5, communication protocol stack 280 waits for application 260 to 25 register a specified event, as indicated in block 500. During this time, an interrupt notice may be disabled. As such, the interrupt notice cannot trigger or be triggered. After the specified event is registered, as in block 500, the interrupt notice, in block 502, may be triggered based on the occurrence of the specified event. The read event, for example, occurs when data is written into the 30 memory of communication protocol stack 280 (i.e., the receive queue). Thus, in block 504, the read event is detected by communication protocol stack 280 when it receives the interrupt notice, which was triggered due to the occurrence of the event. The data stored in the memory of the communication protocol stack 280

may be from the communication network. Further, for the read event, the stored data may be transmitted to application 260.

Last, the close event is detected when a socket is available for re-use because, for example, a data link connection, such as the transport layer, is terminated.

The following examples of an asynchronous connection (see FIG. 6) and an asynchronous input (see FIG. 7) are provided to illustrate the use of asynchronous event notification.

Referring to FIG. 6, both communication protocol stack 280 is entered and the callback functions are specified through the call to function `open_netlib()`. The call to function `pppopen()` (A) initiates the network subsystem connection (B). After the network subsystem connection has been established, the callback function is invoked (C) to report the availability of the network subsystem.

Assuming that a socket has been opened and allocated, a call to function `connect()` (D) initiates a TCP connection (E). Further, application 260 calls function `async_select()` (F) to register the specified events to receive notification. In this example, the specified event of interest is the write event, which occurs upon establishing a connection.

Upon establishing the connection, the callback function is invoked if the specified event is registered in the mask. If it is, then the callback function is invoked (G) to provide asynchronous notification. Once application 260 is notified, it calls function `getnextevent()` (H) to determine which specified event occurred (I). Also, this call clears the bit of the event (i.e., the write event) in the mask (J). Application 260 must re-register subsequent notification of the specified event through the call to function `async_select()`.

In FIG. 7, an illustration of an asynchronous socket read is provided. To initiate the read, application 260 makes a call to function `read()` (A). Assuming a lack of data to read, application 260 calls function `async_select()` (B) to register an event (i.e., set the corresponding bit in the mask) to receive notification. In this example, the specified event of interest is the read event, which occurs when there is data to read by application 260.

Upon the storage of data in the receive queue, the callback function is invoked if the read event is specified in the mask. If it is, then the callback

function is invoked (C) to provide asynchronous notification. Once application 260 is notified, it calls function `getnextevent()` (D) to determine which event occurred (E). Also, this call clears the bit of the event in the mask (F). Application 260 must re-enable subsequent notification of the event through the 5 call to function `async_select()`. Last, to read the data stored in the receive queue, application 260 makes the call to function `read()` (G).

In FIGS. 8-10, state machines of embodiments of the present invention are illustrated. In FIGS. 8-9, it is assumed that communication protocol stack 280 is opened and the network subsystem connection (i.e., traffic channel, and link 10 layer if necessary—the raw sockets may bypass the network subsystem) is established. One skilled in the art would appreciate that various names for the states are possible without departing from the scope of the present invention.

The state machine, which may asynchronously transition between states, controls (i.e., enables and disables) the specified events, such as `read`, `write`, and 15 `close`. The specified events may be disabled at the start of operation and may be enabled in predetermined states to assist application 260 to identify the state of MS 110.

Also, API 270 reports specified status messages that are particular (i.e., not merely generic) to application 260 based on the state of API 270 and the 20 type of function called by application 260. The specified status messages may reflect the state of the underlying communication network. The status messages are reported to application 260 as arguments of the function calls, for example.

In FIG. 8, for example, a state diagram for a TCP socket of API 270 is illustrated. The uninitialized socket begins in the “null” state 800. The socket 25 does not “exist” because it has not been allocated, as of yet. The socket may be created and initialized through a call to function `socket()`, which returns the socket descriptor to use with socket-related functions. After the call to function `socket()`, the state machine transitions to an “initialize” state 805.

In the initialize state 805, the state machine transitions back to the null 30 state 800 whenever the possibility of a TCP connection is terminated by a call to function `close()`. The call to function `close()` releases all socket-related resources. On the other hand, a call to function `connect()` initiates the TCP connection and transitions the state machine into an “opening” state 810.

In the opening state 810, the state machine transitions to a "closed" state 815 whenever: (1) a network subsystem failure occurs, (2) a failure to establish the TCP connection, or (3) a changed IP address. Also, after a call to function close (), which terminates the TCP connection, the state machine transitions the 5 socket into a "closing" state 820 while the termination procedures are initiated. Last, the state machine transitions to an "open" state 825 upon the TCP connection being established.

In the open state 825, the socket is open to read and write. In particular, the write event is immediately enabled, while the read event is enabled based 10 on whether data is stored into the memory of the communication protocol stack 280. The state machine transitions to the closed state 815 whenever: (1) the network subsystem failure occurs; (2) the failure to establish the TCP connection; (3) an attempt to terminate the TCP connection, such as a TCP reset, a TCP aborted, or a TCP closed initiated by a network server; and (4) the change 15 of the IP address. An application initiated TCP connection termination, such as by a call to function close (), transitions the state machine to the closing state 820.

In the closed state 815, the read, write and close events are all asserted. After a call to function close (), which terminates the TCP connection, the state 20 machine transitions the socket to the null state 800, which frees up the socket and makes it available for re-use.

In the closing state 820, the state machine transitions to a "wait for close" state 830 whenever: (1) the network subsystem failure occurs; (2) the attempt to terminate the TCP connection, such as the TCP reset, or the TCP closed initiated 25 by the network server; (3) an expiration of a timer and (4) the change of the IP address. For protection against delay in terminating the TCP connection, the API 270 implements the timer, which is activated upon the initiating of the TCP connection termination. As seen, the expiration of the timer transitions the state machine to the wait for close state 830.

30 In the wait for close state 830, a call to function close () terminates the TCP connection and transitions the state machine to the null state 800. The close event is asserted in this state 830.

Tables 1-3 illustrate specified status messages supported by API 270. In the null state (not shown in Tables 1-3), a specified status message, which is

descriptive, that "no additional resources are available" may be reported to application 260.

Table 1

| State | Specified Status Messages for a Connect Function type |
|----------------|---|
| Initialize | If this were a blocking function call, the operation would block |
| Opening | Connection in progress |
| Open | Connection established |
| Closing | TCP connection does not exist due to lack of origination attempt, or the connection attempt failed |
| Wait for Close | TCP connection does not exist due to lack of origination attempt, or the connection attempt failed; or Generic network error; underlying network is not available |
| Closed | Generic network error; underlying network is not available; Connection attempt was refused due to a server reset; Connection in progress timed out; or Network level IP address changed, which caused the TCP connection to reset, due to a PPP resync |

Table 2

| State | Specified Status Messages for an I/O Function type |
|----------------|--|
| Initialize | TCP connection does not exist due to lack of origination attempt, or the connection attempt failed |
| Opening | If this were a blocking function call, the operation would block |
| Open | If this were a blocking function call, the operation would block (number of bytes read/written) |
| Closing | TCP connection does not exist due to lack of origination attempt, or the connection attempt failed |
| Wait for Close | TCP connection does not exist due to lack of origination attempt, or the connection attempt failed; or Generic network error; underlying network is not available |
| Closed | Generic network error; underlying network is not available; Server reset the connection; receipt of a server reset; TCP connection aborted due to a time-out or other reason; or TCP connection does not exist due to lack of origination |

| | |
|--|---|
| | attempt, or the connection attempt failed |
|--|---|

Table 3

| State | Specified Status Messages for a Close Function type |
|----------------|--|
| Initialize | Success—no error condition reported |
| Opening | If this were a blocking function call, the operation would block |
| Open | If this were a blocking function call, the operation would block |
| Closing | If this were a blocking function call, the operation would block |
| Wait for Close | Success—no error condition reported |
| Closed | Success—no error condition reported |

By way of example, FIG. 9 illustrates a state diagram for a UDP socket of API 270. The uninitialized socket begins in a “null” state 900. As noted above with respect to the null state 800, the socket does not “exist” because it has not been allocated. The socket may be created and initialized through a call to function socket (), which returns the socket descriptor to use with socket-related functions. After the call to function socket (), the state machine transitions to an “open” state 905.

In the open state 905, the socket is open to read and write. In particular, the write event is immediately enabled, while the read event is enabled based on whether data is stored into the memory of the communication protocol stack 280. The state machine transitions to a “closed” state 910 whenever the network subsystem failure occurs. An application initiated UDP connection termination, such as by a call to function close (), transitions the state machine to the null state 900.

In the closed state 910, the read, write, and close events are all enabled. After a call to function close (), which terminates the UDP connection, the state machine transitions the socket to the null state 900, which frees up the socket and makes it available for re-use.

Tables 4-6 illustrate specified status messages supported by API 270. In the null state (not shown in Tables 4-6), the specified status message that “no additional resources are available,” as stated above, may be reported to application 260.

Table 4

| State | Specified Status Messages for a Connect Function type |
|--------|--|
| Open | Success—no error condition reported |
| Closed | Generic network error; underlying network is not available |

Table 5

| State | Specified Status Messages for an I/O Function Type |
|--------|---|
| Open | If this were a blocking function call, the operation would block (number of bytes read/written) |
| Closed | Generic network error; underlying network is not available |

5

Table 6

| State | Specified Status Messages for a Close Function Type |
|--------|---|
| Open | Success—no error condition reported |
| Closed | Success—no error condition reported |

FIG. 10 illustrates a state diagram to control the network subsystem, such as the traffic channel (i.e., Um) and the link-layer (i.e., PPP 206). A call to function `open_netlib()` opens the network subsystem, and initializes a socket into a “closed” state 1000. A call to function `pppopen()` initiates the network subsystem connection, which transitions the socket to an “opening” state 1005. Also, a page to the MS 110 by an incoming PPP call transitions the socket to the opening state 1005. In both cases, upon successful negotiation, the MS 110 attempts to synchronize and establish both RLP and PPP across the traffic channel.

In the opening state 1005, the socket transitions to an “open” state 1010 upon the network subsystem connection being established. On the other hand, the socket transitions back to the closed state 1000 if the network subsystem connection is not established.

In the open state 1010, the callback function is invoked to identify to application 1060 specified events, such as read, write, and close, that are enabled. At this time, the MS 110 can communicate through the traffic channel. The socket, however, transitions to the closed state 1000 whenever network subsystem failure occurs, which invokes the callback function. An application

initiated network subsystem connection termination, such as by a call to function close (), transitions the socket to a “closing” state 1015.

In the closing state 1015, the socket transitions to the closed state 1000 whenever the network subsystem connection is terminated. In the closed state 5 1000, the callback function is invoked to identify to application 260 specified events that are enabled.

Table 7 illustrates specified status messages that correspond to particular function calls, and that are supported by API 270.

Table 7

| Function Call (and description) | Specified Status Messages |
|---|---|
| socket () creates a socket and returns a socket descriptor | Address not supported; Invalid Application Identifier; Protocol is wrong type for socket; Invalid or unsupported socket parameter; Protocol not supported; or No more socket resources available |
| connect () initiates TCP connection | If this were a blocking function call, the operation would block; Invalid socket descriptor; Connection attempt was refused due to receipt of a server reset; Connection timed out; Application buffer not part of valid address space; invalid size specified for address length or message length; Network level IP address changed, which caused the TCP connection to reset, due to a PPP resync; Connection in progress; Socket descriptor already connected; Generic network error; underlying network is unavailable; Invalid server address specified; Address already in use; or |

| Destination Address Required | |
|--|---|
| pppopen () establishes network connection | If this were a blocking function call, the operation would block; Invalid application identifier specified; or Termination of network connection in progress |
| net_ioctl () sets network characteristics | Invalid application identifier specified; Invalid request or parameter; Network connection established; or Network connection in progress |
| open_netlib () opens communication protocol stack | No more applications available—maximum number of open applications exceeded |
| close_netlib () closes communication protocol stack | Invalid application identifier specified; There are existing, allocated sockets; or Network connection is still established |
| bind () for client sockets, attaches a local address and port value to the socket | Invalid socket descriptor specified; Invalid or unsupported operation specified; Address already in use; Invalid operation; or Invalid address parameter specified |
| close () closes a socket to free it for re-use | Invalid socket descriptor specified; or If this were a blocking function call, the operation would block |
| pppclose () closes network connection | If this were a blocking function call, the operation would block; Invalid application identifier specified; or Termination of network connection in progress |
| netstatus () reports status of network connection | Invalid application identifier specified; Underlying network is unavailable; Network connection established and available; Network connection in progress; Termination of network connection in progress; No CDMA (i.e., traffic channel) service available; CDMA service available, but origination failed |

| | |
|--|--|
| | because base station does not support service option; or CDMA service available, but origination failed; however, not because base station does not support the service option |
| async_select () registers specified events for a particular socket | Invalid socket descriptor specified |
| getnextevent () get the next socket descriptor and events that have occurred | Invalid socket descriptor specified; or Invalid application identifier specified |
| write () write a specified number of bytes—contiguous or non-contiguous buffers | Invalid socket descriptor specified; No existing TCP connection; Server reset the TCP connection; TCP connection aborted due to timeout or other failure; Network level IP address changed, which caused the TCP connection to reset, due to a PPP resync; TCP connection closed; Network unavailable; Application buffer not valid part of address space; or No free buffers available for writing |
| read () read a specified number of bytes—contiguous or non- contiguous buffers | Invalid socket descriptor specified; No existing TCP connection; Server reset the TCP connection; TCP connection aborted due to timeout or other failure; Network level IP address changed, which caused the TCP connection to reset, due to a PPP resync; TCP connection closed; Network unavailable; Application buffer not valid part of address |

| | |
|--|---|
| | space; No free buffers available for reading; or End of file marker received |
| sendto () send a specified number of bytes | Invalid socket descriptor specified; Address family not supported; No free buffers available for writing; Network unavailable; Application buffer not valid part of address space; Specified option not supported; or Destination address requested |
| recvfrom () reads a specified number of bytes | Invalid socket descriptor specified; Address family not supported; No free buffers available for writing; Network unavailable; Application buffer not valid part of address space; or Specified option not supported |

In another embodiment, a machine may read a machine-readable medium comprising encoded information, such as encoded software code, to cause the processes described above that enables a mobile station application to receive and transmit raw packetized data. The machine-readable medium may accept the encoded information from a storage device, such as a memory or a storage disk, or from the communication network. Also, the machine-readable medium may be programmed with the encoded information when the medium is manufactured. The machine may comprise at least one of application 260, communication protocol stack 280, and API 270, while the machine-readable medium may comprise a memory or a storage disk.

Although this invention has been shown in relation to particular embodiments, it should not be considered so limited. Rather, the invention is limited only by the scope of the appended claims and their equivalents.

15

We claim:

CLAIMS

1. A method for a mobile station application to receive raw packetized data,
2 the method comprising:
 - 4 creating, by the mobile station application, at least one socket;
 - 6 receiving, by at least one of a plurality of mobile station protocol layers,
8 encapsulated raw packetized data from a communication network, the raw
10 packetized data lacking destination port information;
 - 10 transmitting, by at least one of the mobile station protocol layers,
8 unencapsulated raw packetized data to the at least one socket; and
 - 10 transmitting, by the at least one socket, the raw packetized data to the
mobile station application.
2. The method of claim 1, further comprising transmitting the raw
2 packetized data to an Internet Control Messaging Protocol parsing engine.
3. The method of claim 1, wherein the raw packetized data includes raw IP
2 packets.
4. The method of claim 1, wherein the plurality of mobile station protocol
2 layers includes at least one of a mobile station radio link protocol layer and a
mobile station IS-95 protocol layer.
5. The method of claim 1, wherein the plurality of mobile station protocol
2 layers includes a mobile station communication protocol stack.
6. An apparatus for a mobile station application to receive raw packetized
2 data, the apparatus comprising:
 - 4 a mobile station application to create at least one socket; and
 - 6 a plurality of mobile station protocol layers,
wherein at least one of the mobile station protocol layers is adapted to
receive encapsulated raw packetized data from a communication network, the
raw packetized data lacking destination port information;

8 wherein at least one of the mobile station protocol layers is adapted to
transmit unencapsulated raw packetized data to the at least one socket; and
10 wherein the at least one socket is adapted to transmit the raw packetized
data to the mobile station application.

7. The apparatus of claim 6, wherein the at least one socket is adapted to
2 transmit the raw packetized data to an Internet Control Messaging Protocol
parsing engine.

8. The apparatus of claim 6, wherein the raw packetized data includes raw
2 IP packets.

9. The apparatus of claim 6, wherein the plurality of mobile station protocol
2 layers includes at least one of a mobile station radio link protocol layer and a
mobile station IS-95 protocol layer.

10. The apparatus of claim 6, wherein the plurality of mobile station protocol
2 layers includes a mobile station communication protocol stack.

11. A machine-readable medium comprising encoded information, which
2 when read by a machine causes the processes of:

 creating, by a mobile station application, at least one socket;

4 receiving, by at least one of a plurality of mobile station protocol layers,
encapsulated raw packetized data from a communication network, the raw
6 packetized data lacking destination port information;

8 transmitting, by at least one of the mobile station protocol layers,
unencapsulated raw packetized data to the at least one socket; and

10 transmitting, by the at least one socket, the raw packetized data to the
mobile station application.

12. The machine-readable medium of claim 11, further comprising
2 transmitting the raw packetized data to an Internet Control Messaging Protocol
parsing engine.

13. The machine-readable medium of claim 11, wherein the raw packetized
2 data includes raw IP packets.

14. The machine-readable medium of claim 11, wherein the plurality of
2 mobile station protocol layers includes at least one of a mobile station radio link
protocol layer and a mobile station IS-95 protocol layer.

15. The machine-readable medium of claim 11, wherein the plurality of
2 mobile station protocol layers includes a mobile station communication
protocol stack.

16. A method for a mobile station application to transmit raw packetized
2 data, the method comprising:

4 creating, by the mobile station application, at least one socket;
transmitting, by the at least one socket, raw packetized data of the
mobile station application to at least one of a plurality of mobile station protocol
6 layers; and

8 transmitting, by at least one of a plurality of mobile station protocol
layers, encapsulated raw packetized data to a communication network.

17. The method of claim 16, wherein the raw packetized data includes raw
2 IP packets.

18. The method of claim 16, wherein the plurality of mobile station protocol
2 layers includes at least one of a mobile station radio link protocol layer and a
mobile station IS-95 protocol layer.

19. The method of claim 16, wherein the plurality of mobile station protocol
2 layers includes a mobile station communication protocol stack.

20. An apparatus for a mobile station application to transmit raw packetized
2 data, the apparatus comprising:

4 a mobile station application to create at least one socket; and
a plurality of mobile station protocol layers,

wherein the at least one socket is adapted to transmit raw packetized data of the mobile station application to at least one of the mobile station protocol layers; and

8 wherein at least one of the mobile station protocol layers is adapted to transmit encapsulated raw packetized data to a communication network.

21. The apparatus of claim 20, wherein the raw packetized data includes raw IP packets.

22. The apparatus of claim 20, wherein the plurality of mobile station protocol layers includes at least one of a mobile station radio link protocol layer and a mobile station IS-95 protocol layer.

23. The apparatus of claim 20, wherein the plurality of mobile station protocol layers includes a mobile station communication protocol stack.

24. A machine-readable medium comprising encoded information, which when read by a machine causes the processes of:

4 creating, by a mobile station application, at least one socket;
transmitting, by the at least one socket, raw packetized data of the mobile station application to at least one of a plurality of mobile station protocol layers; and

8 transmitting, by at least one of a plurality of mobile station protocol layers, encapsulated raw packetized data to a communication network.

25. The machine-readable medium of claim 24, wherein the raw packetized data includes raw IP packets.

26. The machine-readable medium of claim 24, wherein the plurality of mobile station protocol layers includes at least one of a mobile station radio link protocol layer and a mobile station IS-95 protocol layer.

27. The machine-readable medium of claim 24, wherein the plurality of
2 mobile station protocol layers includes a mobile station communication
protocol stack.

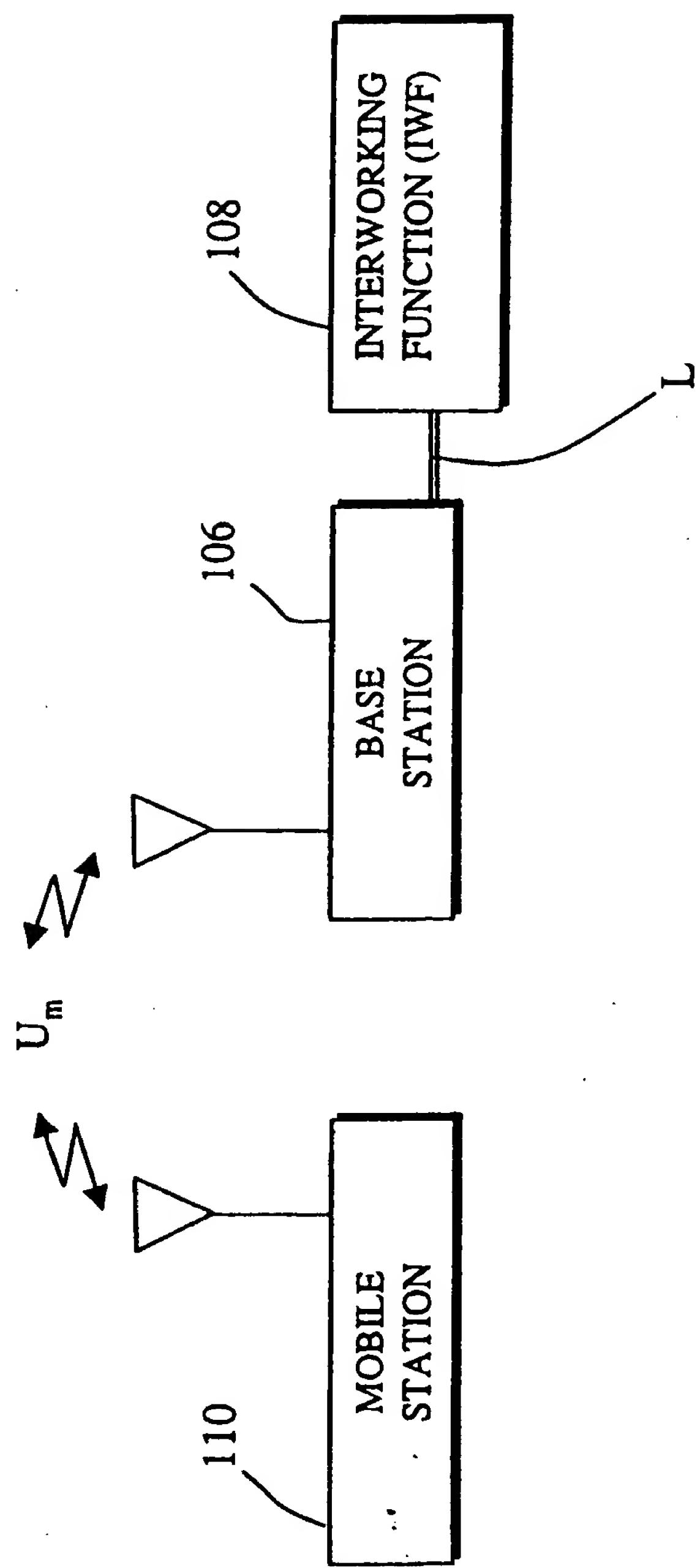


FIG. 1 (Prior Art)

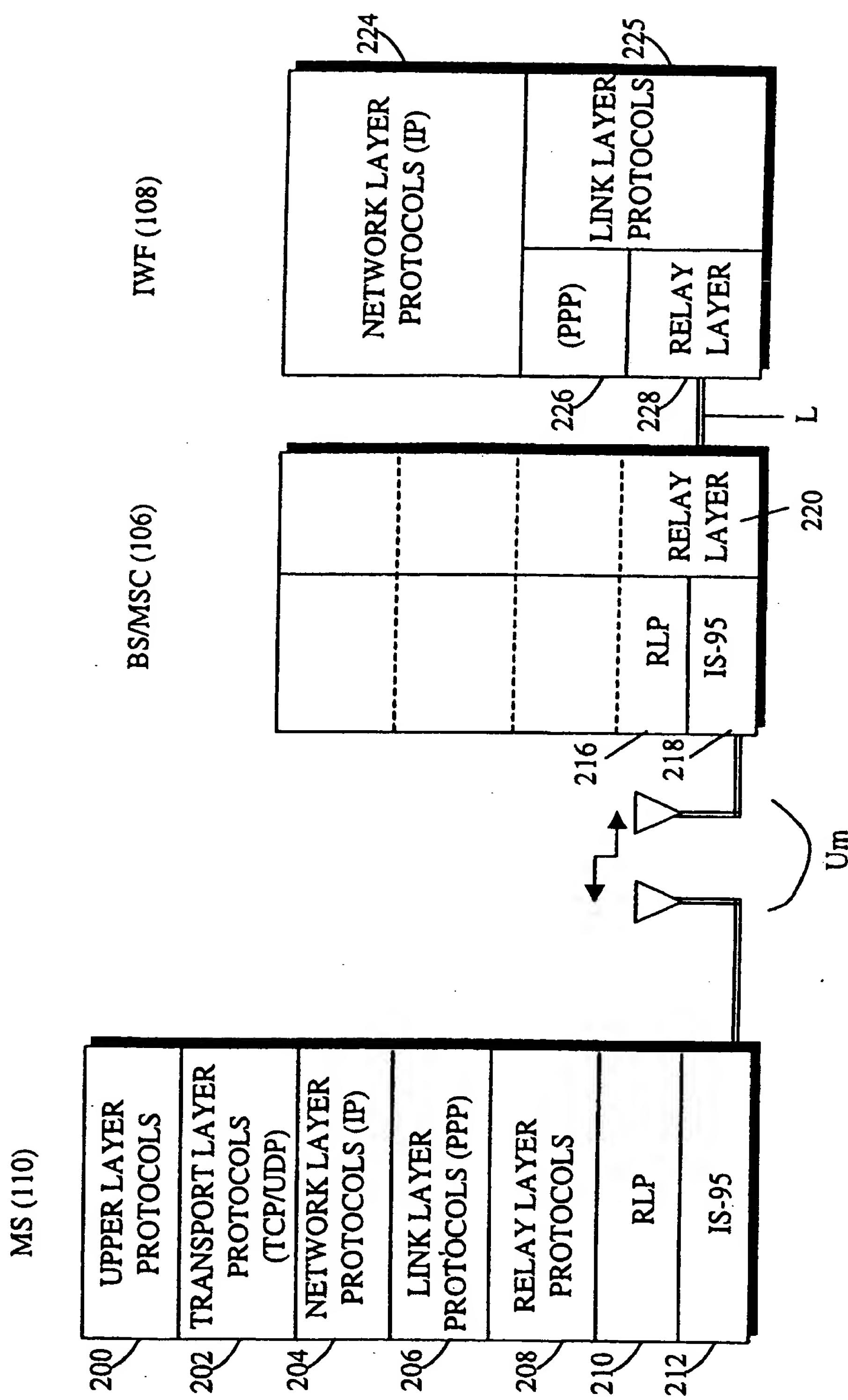


FIG. 2 (Prior Art)

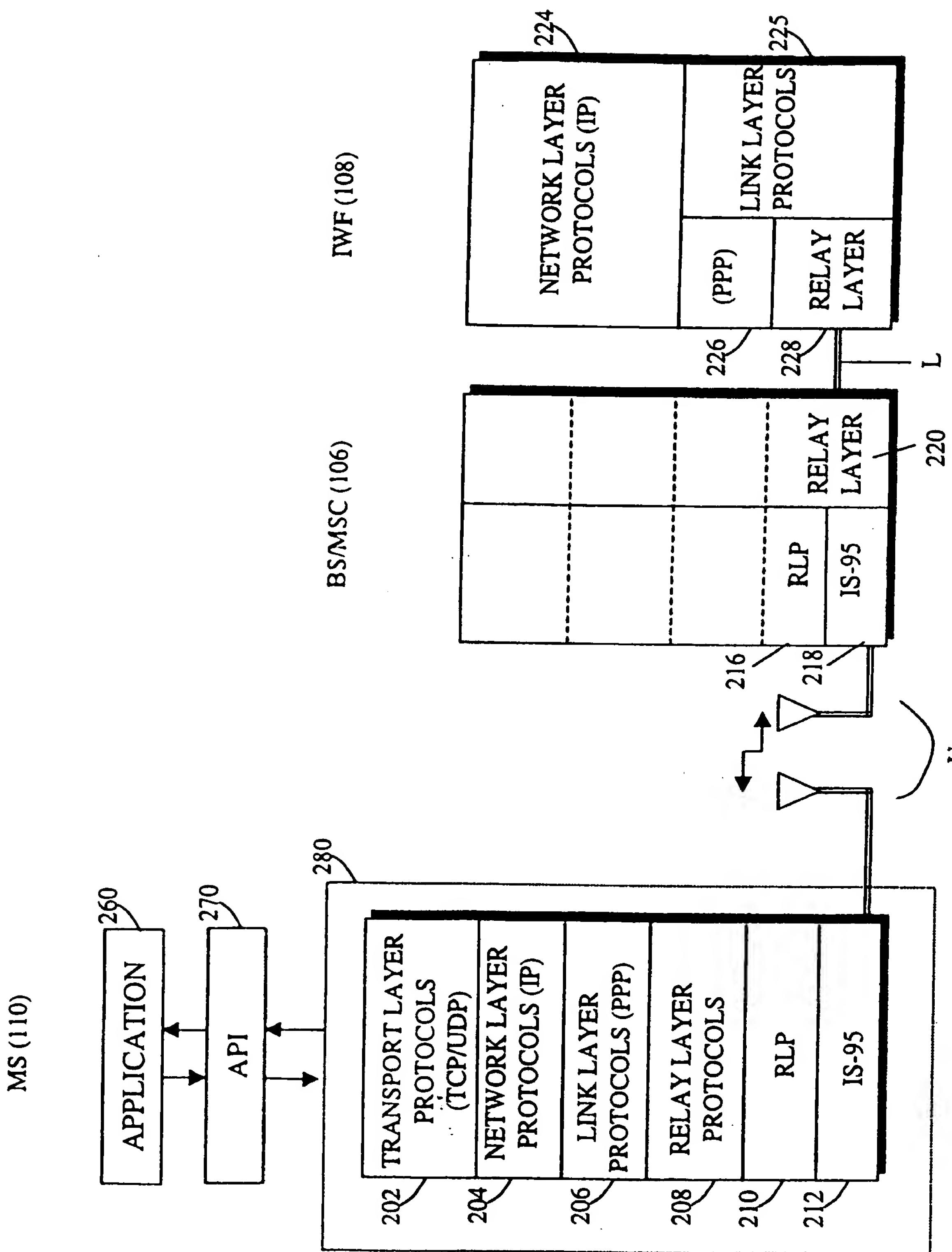


FIG. 3

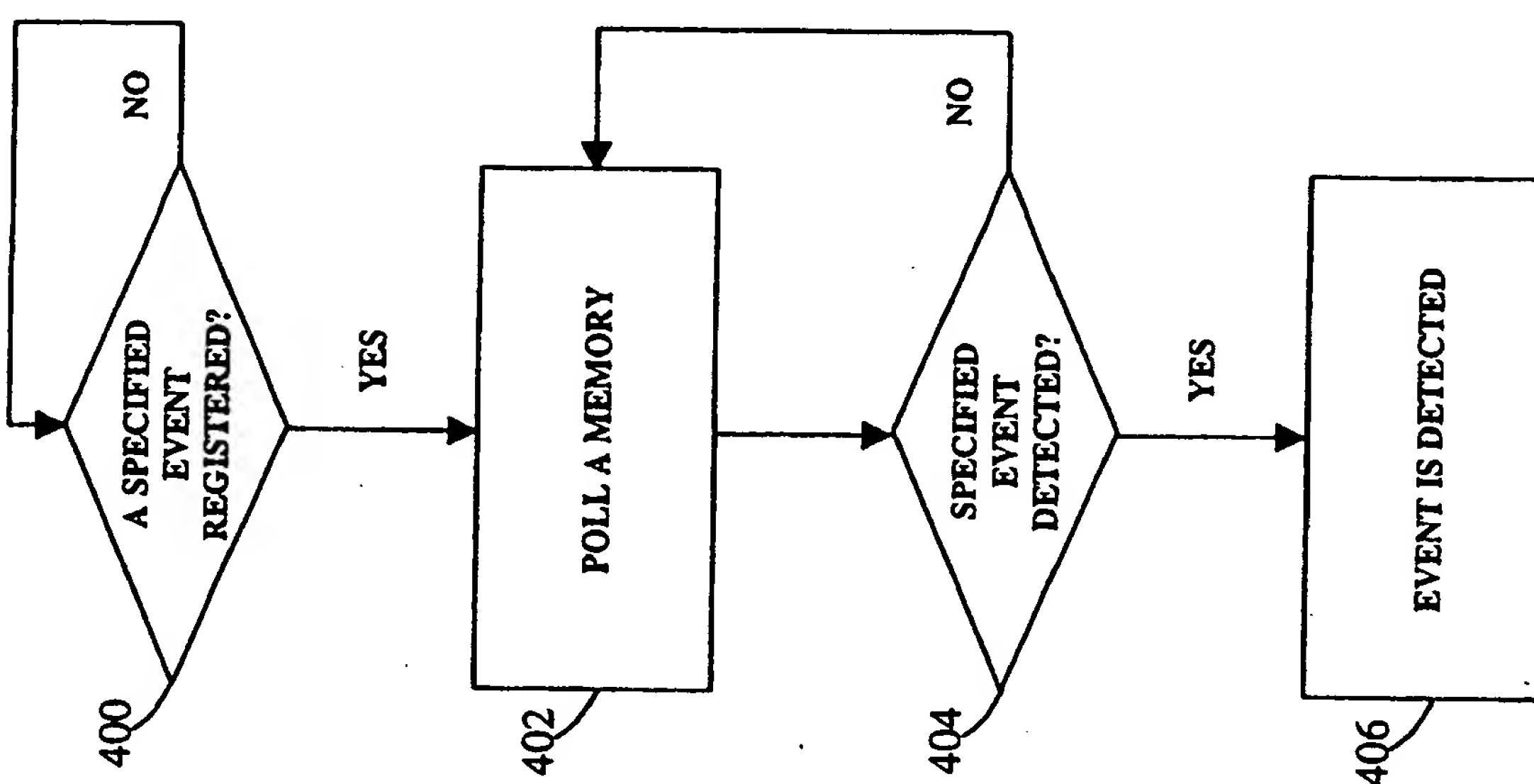


FIG. 4

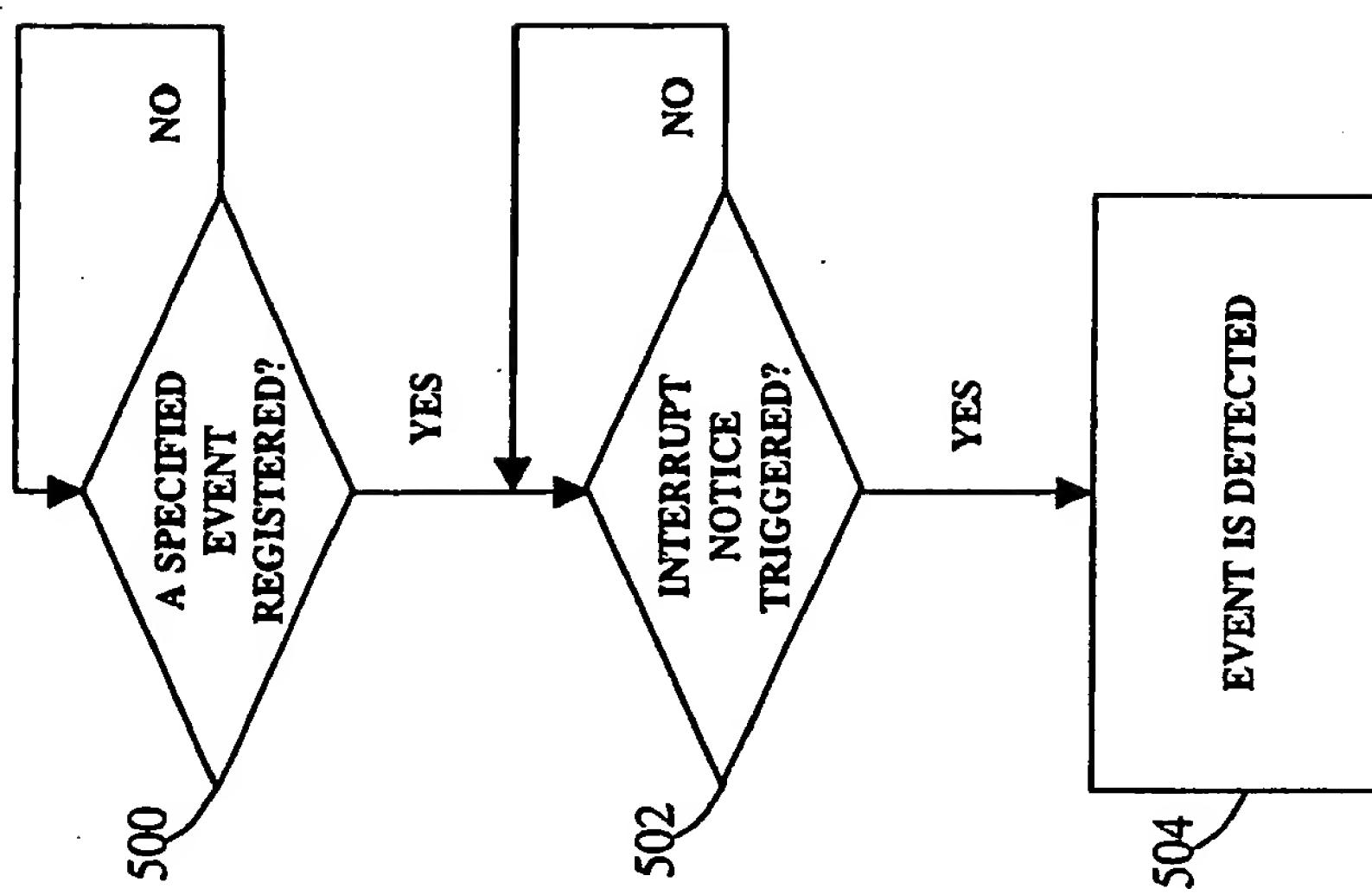


FIG. 5

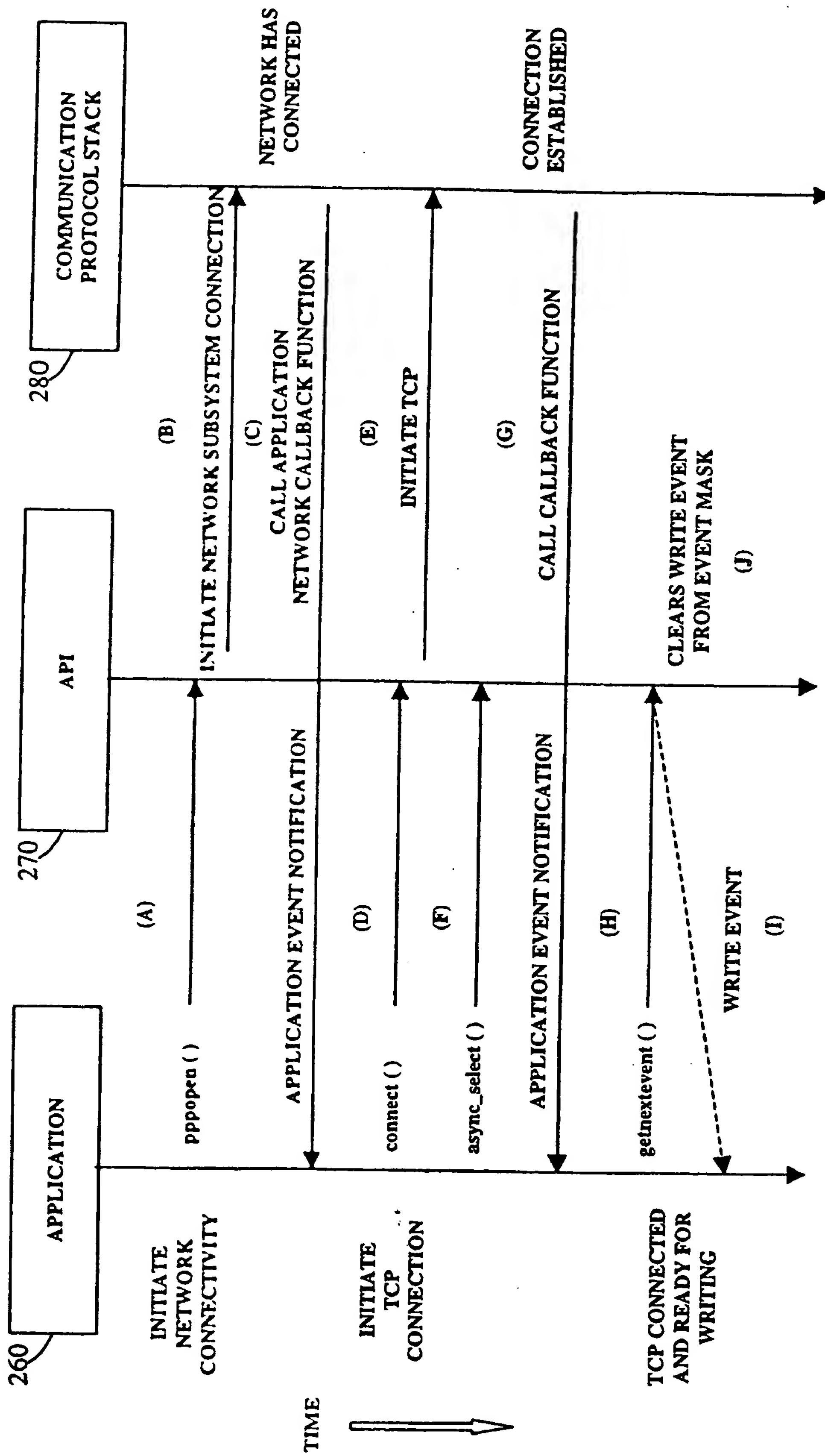


FIG. 6

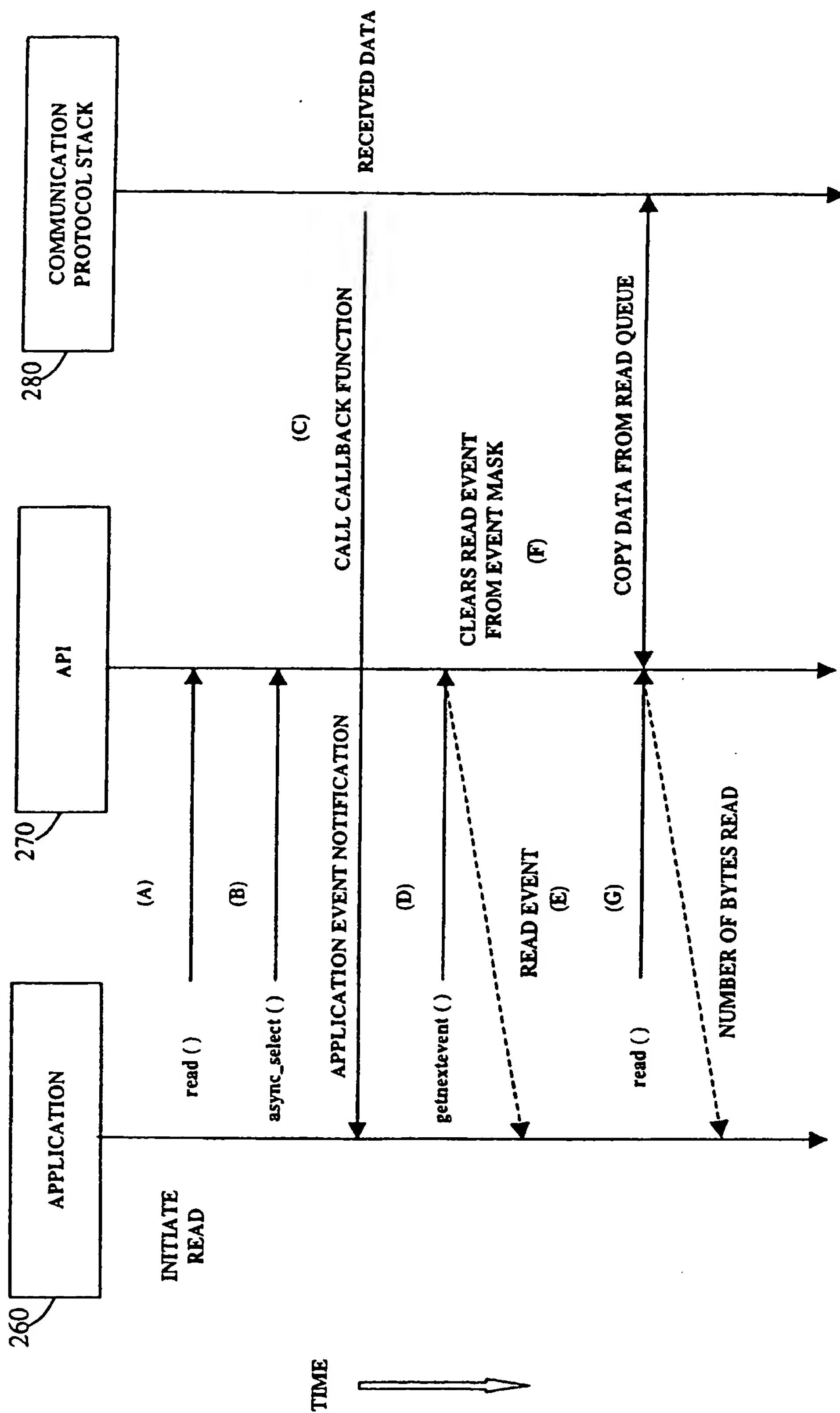


FIG. 7

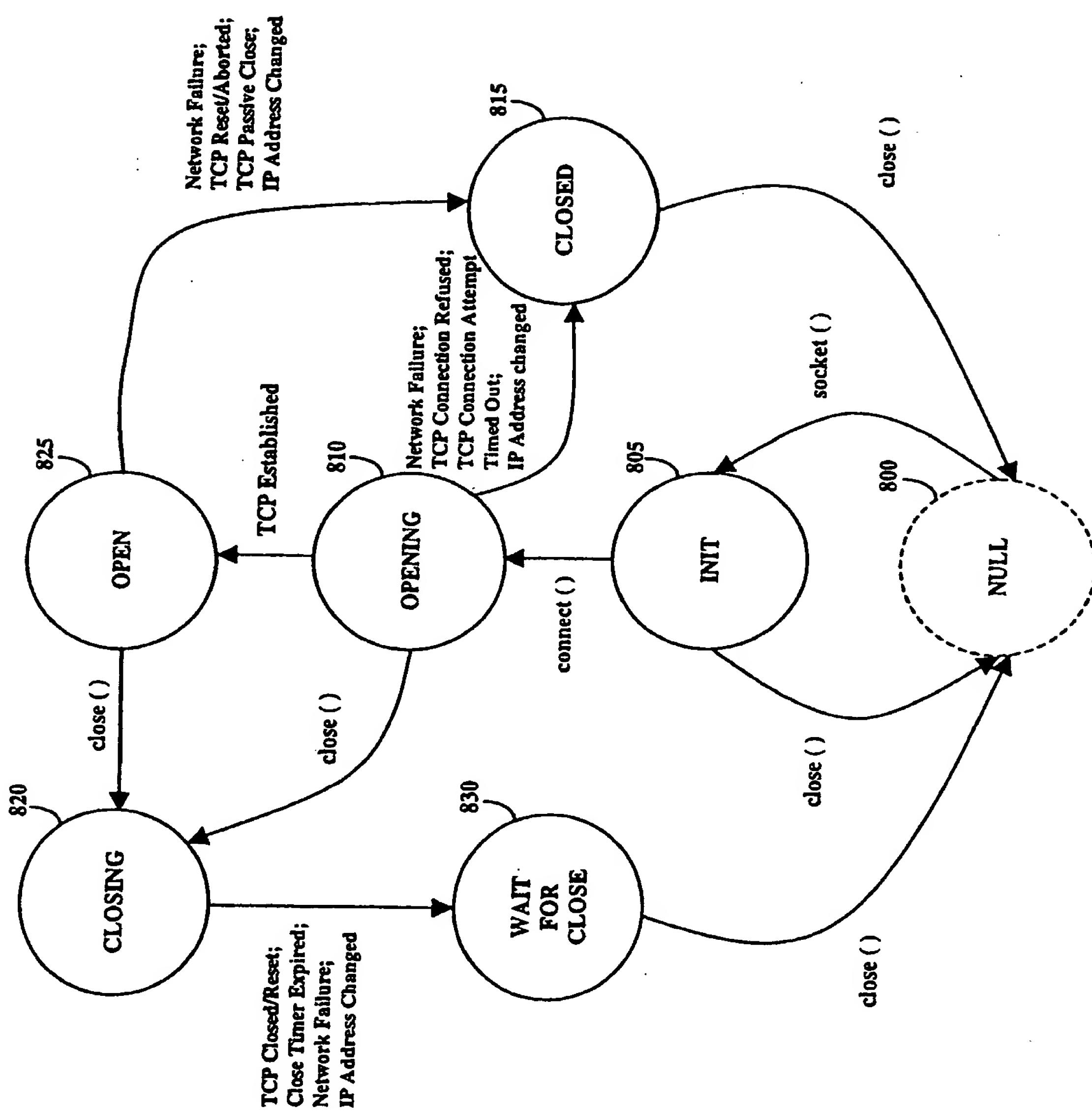


FIG. 8

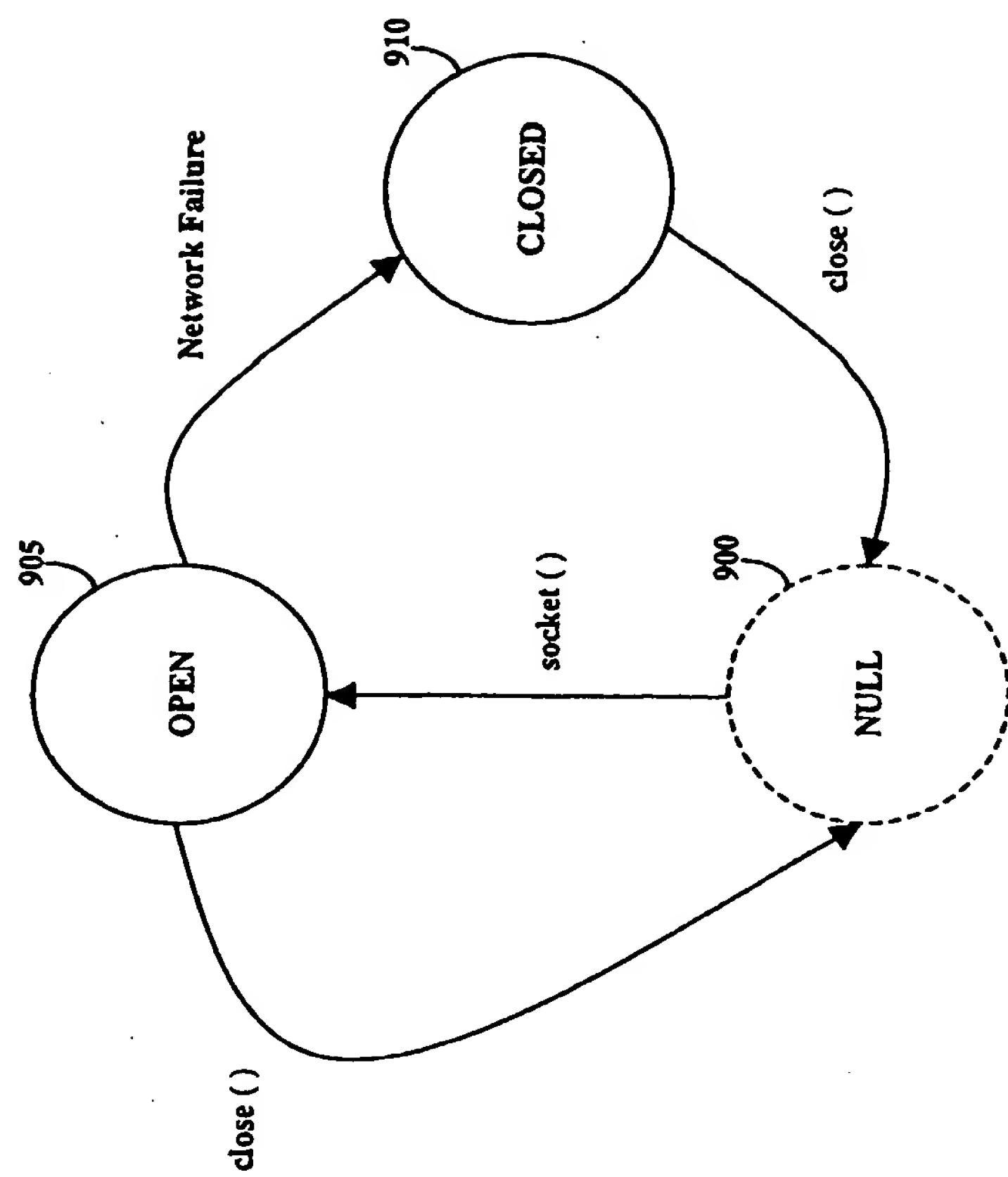


FIG. 9

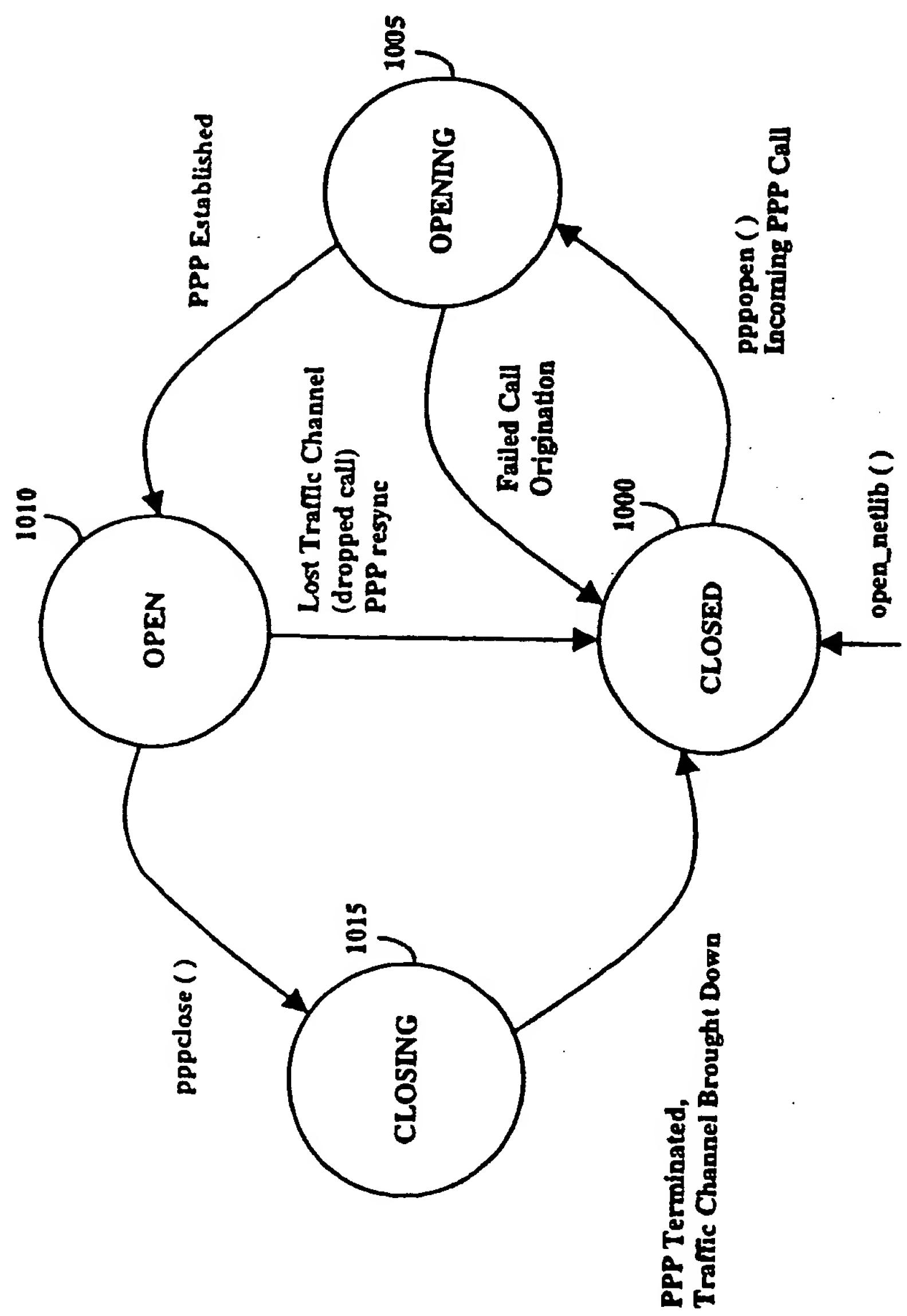


FIG. 10

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
11 October 2001 (11.10.2001)

PCT

(10) International Publication Number
WO 01/76180 A3

(51) International Patent Classification⁷: H04L 29/06. (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(21) International Application Number: PCT/US01/10143

(22) International Filing Date: 29 March 2001 (29.03.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 09/539,499 30 March 2000 (30.03.2000) US

(71) Applicant: QUALCOMM INCORPORATED [US/US]; 5775 Morehouse Drive, San Diego, CA 92121-1714 (US).

(72) Inventors: ABROL, Nischal; 7260 Calle Cristobal #41, San Diego, CA 92126 (US). GILKEY, Harold; Apartment 1432, 3717 Nobel Drive, San Diego, CA 92122 (US).

(74) Agents: WADSWORTH, Philip, R. et al.; Qualcomm Incorporated, 5775 Morehouse Drive, San Diego, CA 92121-1714 (US).

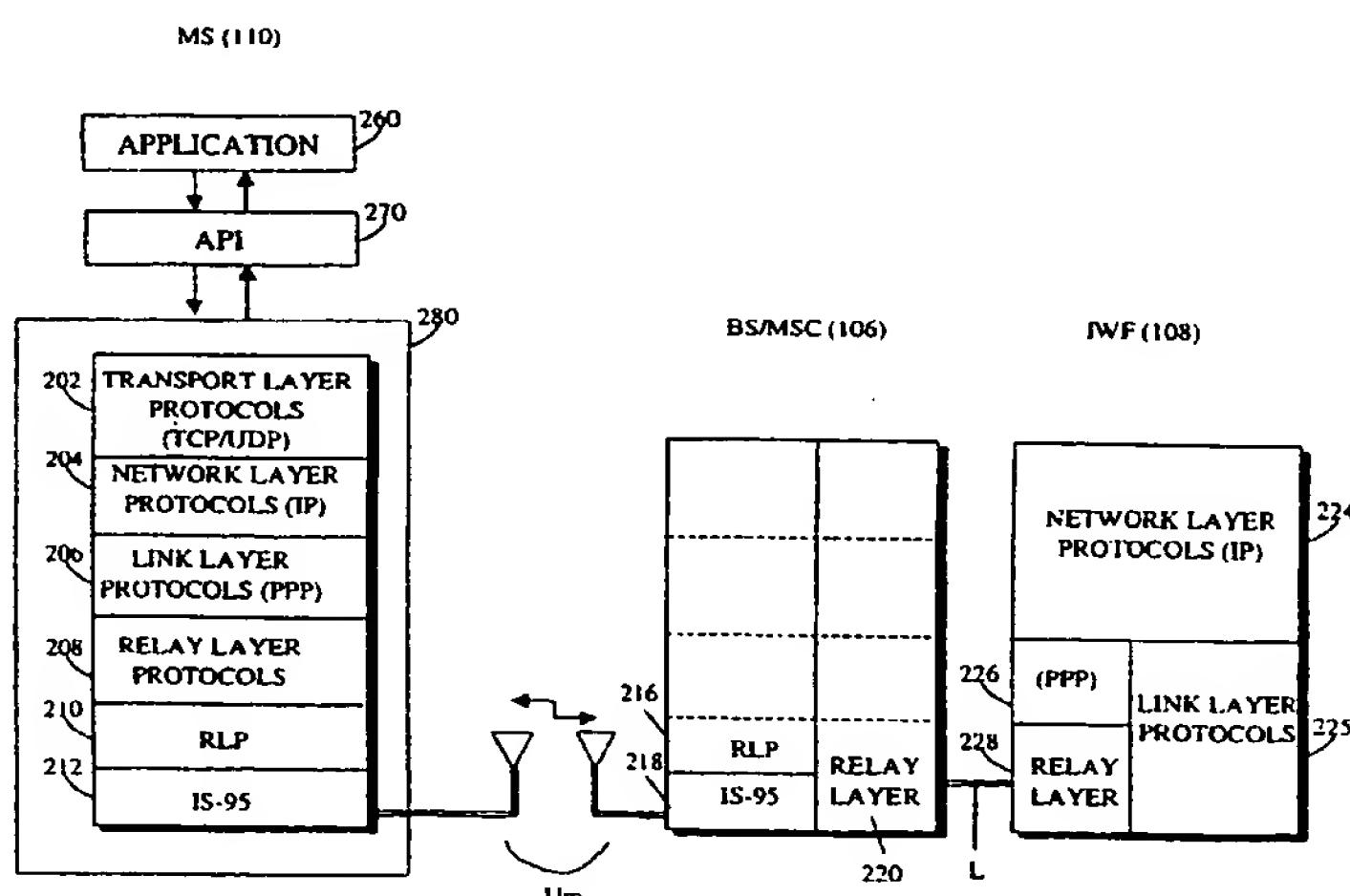
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published: -- with international search report

(88) Date of publication of the international search report: 14 March 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND APPARATUS FOR A MOBILE STATION APPLICATION TO RECEIVE AND TRANSMIT RAW PACKETIZED DATA



WO 01/76180 A3

(57) Abstract: The present invention discloses a method and apparatus for a mobile station application to receive and transmit raw packetized data in a wireless communication system. The present invention includes a mobile station application that creates at least one socket. At least one of mobile station protocol layers of a communication protocol stack receives encapsulated raw packetized data from a communication network. The raw packetized data lacks destination port information. At least one of the mobile station protocol layers transmits unencapsulated raw packetized data to the created sockets. In turn, the created sockets transmit the raw packetized data to the mobile station application. In another implementation, the created sockets transmit raw packetized data of the mobile station application to at least one of the mobile station protocol layers. In turn, at least one of the mobile station protocol layers transmits encapsulated raw packetized data to the communication network.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/10143

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04L29/06 H04L29/08

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No |
|----------|--|----------------------|
| X | <p>STEVENS ET AL: "TCP/IP Illustrated, Volume 2: The Implementations" August 1999 (1999-08), TCP/IP ILLUSTRATED. VOL. 2: THE IMPLEMENTATION, PROFESSIONAL COMPUTING SERIES, READING, MA: ADDISON WESLEY, US, VOL. VOL. 2 XP002182171 ISBN: 0-201-63354-X page XIX -page XXI page 1049 -page 1065</p> <p>---</p> <p>-/-</p> | 1-27 |

Further documents are listed in the continuation of box C.

Patent family members are listed in annex

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

7 November 2001

Date of mailing of the international search report

21/11/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.
Fax: (+31-70) 340-3016

Authorized officer

Nocentini, I

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/10143

| C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT | | |
|--|--|-----------------------|
| Category | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| A | <p>MONTENEGRO ET AL.: "RFC 2757 - Long Thin Networks" REQUEST FOR COMMENT, 'Online! January 2000 (2000-01), XP002182001 Retrieved from the Internet: <URL:http://www.ietf.org/rfc/rfc2757.txt?number=2757> 'retrieved on 2001-11-05! the whole document</p> <p>---</p> <p>SE-JIN HWANG A-RUM JUN HAE-SUN SHIN MYONG-SOON PARK CHANG-EEK CHO SANG-GOO LEE CHONG-TAI KIM: "Virtual socket interfaces for wireless network", INFORMATION NETWORKING, 1998. (ICOIN-12). PROCEEDINGS., TWELFTH INTERNATIONAL CONFERENCE ON TOKYO, JAPAN 21-23 JAN. 1998, LOS ALAMITOS, CA, USA. IEEE COMPUT. SOC, US, PAGE(S) 381-384 XP010265336 ISBN: 0-8186-7225-0 the whole document</p> <p>-----</p> | 1-27 |
| A | | 1-27 |

This Page Blank (uspto)